nexustek

Managed IT + Cybersecurity
for Financial Services

COMPLIANCE-READY IT. AUDIT-PROOF INFRASTRUCTURE.

Managed IT, cybersecurity, and private cloud for mid-market banks, insurers, asset managers, capital markets firms, and payment processors.

$6.08M

Average data breach cost for financial services — highest of any industry

IBM Cost of a Data Breach Report, 2024

20X

Increase in deepfake attacks targeting financial institutions in 3 years

Federal Reserve Vice Chair Barr, April 2025

$400K+

Cost to build a 24/7 SOC internally — salaries alone, before tools

Glassdoor / Salary.com benchmarks, 2024

The Business Case

NYDFS Phase 3 enforcement active November 2025. OCC unified third-party risk guidance. SEC 48-hour incident notification. PCI DSS 4.0 enforceable March 2024. Regulators are asking — and they expect documentation, not explanations.

Mid-market financial institutions must achieve and maintain compliance with NYDFS, FINRA, OCC, SEC, PCI DSS, and GLBA — or face exam findings, penalties, and lost contracts. The same compliance posture that satisfies regulators is what keeps institutions secure.

Where Financial Institutions ***ARE EXPOSED***

No SOC 1/SOC 2 Reporting

Examiners ask for IT vendor SOC reports at every audit. MSPs that can't produce them on demand create findings. Most MSPs treat compliance as a checklist. NexusTek treats it as the foundation.

Third-Party Vendor Risk — OCC + FFIEC

OCC's new unified guidance requires documented vendor oversight programs. Institutions that can't produce evidence of ongoing third-party risk management are creating findings at every exam.

MFA Gaps — NYDFS Phase 3

Enhanced MFA for all users is now enforceable for NY-regulated institutions. Privileged accounts, remote access, and vendor access paths are the most common gaps examiners find.

AI Without Governance

Staff using unsanctioned AI tools with regulated customer data. No AI system inventory. No model governance framework. OCC, SEC, and FINRA are beginning to ask about this in exams.

No 24/7 Threat Monitoring

Tools in place. No one watching at 3am. Mean time to detect measured in days, not minutes. Financial services is the highest-cost breach target of any industry at $6.08M per incident.

Untested Disaster Recovery + No vCIO-Led Compliance Strategy

FINRA, OCC, and FFIEC require tested DR with documented RTOs/RPOs. Most institutions have BCP documentation — but no evidence of testing. Regulatory updates are addressed at exam time, not continuously. Each cycle produces the same findings.

The NexusTek Financial Services *SOLUTION STACK*

Each service maps to a required regulatory control domain.

Private Cloud Infrastructure

Infrastructure · SOC 1/ SOC 2 Reporting

Dedicated private cloud in Tier 4/5 data centers. 99.99% uptime SLA. SOC 1 Type II and SOC 2 Type II reporting included as standard — satisfying OCC, Fed, FDIC, and NYDFS third-party risk documentation requirements. Granular control, data sovereignty, and transparent fixed-cost billing. SouthEast Bank chose NexusTek Private Cloud after evaluating public cloud and finding it couldn't deliver the compliance posture required.

MDR + 24/7 Security Operations Center

Incident Response · Threat Monitoring · NYDFS MFA

Continuous threat monitoring, detection, and incident response across endpoints, cloud, and on-premises environments. NYDFS-compliant MFA enforcement and monitoring. Threat intelligence, EDR, log analysis and correlation. Mean time to detect reduced from days to minutes — without adding internal security headcount. $400K+ in salary savings vs. building a 24/7 SOC internally.

vCIO Advisory

Compliance Governance · All Regulatory Frameworks

Monthly strategic sessions translating NYDFS, FINRA, OCC, and SEC requirements into actionable IT policy and compliance frameworks — before the exam cycle, not during it. Technology roadmapping, third-party risk management, vendor contract evaluation, and regulatory exam documentation preparation included as standing deliverables.

Co-Managed IT + Help Desk

Infrastructure Operations · 24/7 Coverage

Your internal team maintains oversight and strategic direction. NexusTek handles execution, 24/7/365 help desk, infrastructure management, patch management, and backup and disaster recovery. Growing Mortgage Lender: complete infrastructure modernization across distributed branches — zero added headcount.

AI Implementation for Financial Services

AI Governance · Model Risk Management · Shadow AI Controls

Fraud detection, transaction monitoring, credit assessment, loan processing, and KYC profiling deployed with AI system inventories, model governance frameworks, and regulatory controls aligned with OCC, SEC, and FINRA guidance from day one. Eliminates shadow AI risk — staff channeled toward compliant, sanctioned tools.

The NexusTek Engagement Model

01 COMPLIANCE ASSESSMENT

Current environment mapped against active regulatory frameworks — NYDFS, OCC, FINRA, PCI DSS. Gap analysis. Prioritized remediation roadmap. Delivered at no cost, no commitment required.

02 REMEDIATION + IMPLEMENTATION

Technical control deployment across Private Cloud, 24/7 SOC, MFA enforcement, vCIO advisory, and co-managed IT. Compliance documentation, SOC reporting, and third-party risk frameworks established.

03 ONGOING GOVERNANCE

vCIO maintains compliance posture between exam cycles. Continuous monitoring. Exam support standing by. SOC 1 and SOC 2 reporting delivered annually as a standing deliverable.

REFERENCE ACCOUNT · SOUTHEAST BANK · BANKING

Six years. Zero audit issues. 99.99% uptime.

State and federal banking examinations, every year. SOC 1 and SOC 2 reporting delivered annually. Not one finding related to NexusTek.

> "NexusTek understands what regulators expect, they're familiar with the audit process, and they're always ready to provide the documentation we need.
>
> — Joe Littleton, CISO, SouthEast Bank

Why NexusTek

30+ years

IT SERVICES EXPERIENCE

1,400+ clients

98% SATISFACTION RATING

Full-stack delivery

Private Cloud, MDR + 24/7 SOC, vCIO Advisory,
Co-Managed IT, and AI Implementation under one partner.
No vendor coordination. One SLA. One SOC report. One bill.

AWARD-WINNING

THE CHANNEL CO. CRN TRIPLE CROWN WINNER

THE CHANNEL CO. CRN MSP 500 2026 — 10 YEARS IN A ROW

THE CHANNEL CO. CRN TECH ELITE 250 2025 — 8 YEARS IN A ROW

THE CHANNEL CO. CRN SOLUTION PROVIDER 500 2025 — 7 YEARS IN A ROW

Channel Partners MSP501 2025 WINNER


START WITH A
COMPLIANCE
ASSESSMENT
Establish your baseline. Identify gaps. Get a prioritized remediation roadmap mapped to your active regulatory frameworks — NYDFS, OCC, FINRA, PCI DSS. No cost. No commitment.
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